

Branch

Client Code

**CITIZENS DEVELOPMENT BUSINESS FINANCE PLC**

No. 123, Orabipasha Mawatha, Colombo 10

Tel : 011 2429800 Fax : 011 2429888 E mail : margin@cdb.lk

**Co. Reg. No. PB 232 PQ****Margin Trading Application Form (Corporate)****APPLICANT DETAILS**

Name Of The Company										
Company Registration No										
Address										
Telephone No							Mobile No			
Fax							E- Mail			
Date Of Incorporation										
Nature of Business										

**KEY CONTACT PERSON**

Name	1.	2.
Designation		
Telephone No		
E- Mail		

**CDS ACCOUNT DETAILS**

Credit Limit Required	
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CDS Account No	Name Of Broker	Market Value

**BANK ACCOUNT DETAILS**

Bank	Branch	Type Of Account	Account No.

**PARTICULARS OF OTHER MARGIN TRADING FACILITIES**

Name Of Margin Provider	Outstanding Liability	Market Value Of Security

**PARTICULARS OF OTHER LIABILITIES/FACILITIES**

Name Of Bank/Financier	Original Amount	Amount Outstanding	Balance Period	Market Value Of Security

## DOCUMENTS TO BE ATTACHED

Name of Document	Attached
Certified Copy of Certificate of Incorporation	
Certified Copy of Articles of Association	
Certified Copy of Form 20	
Certified Copy of Company Board Resolution to open a margin trading account	
Certified Copies of NIC of Directors	
Certified Portfolio Valuation from the broker	
Certified copy of Top 10 Shareholder List	
Crib Consent letter	

### Special Conditions

- The funds should be utilized solely for the purpose of purchasing shares of the listed companies in the Colombo Stock Exchange (CSE).
- Funds will not be granted for purchases above 50% of the portfolio value.
- If the outstanding amount exceeds the maintenance margin limit which is stipulated by the company, the company retains the right to dispose the shares and maintain the stipulated maintenance margin limit.
- Shares of a single company should not be purchased more than 25% of the total value of the portfolio.
- Borrower can reduce the outstanding balance by paying back cash or lodging additional shares listed in CSE which are acceptable to the company within 3 working days of the shortfall being informed.
- The shares below mentioned shall not be considered for funding by CDB used to purchase the below mentioned shares
  - Shares of CDB PLC
  - Shares of companies which have a substantial interest in CDB PLC
  - The list of shares published by CDB from time to time as un-allowed shares
- In the event of sale of shares, proceeds would be credited directly to the borrower's relevant savings account
- Payments to the broker for purchase of shares will be made by the bank on the settlement date directly by debiting the borrower's margin account, as per the relevant contract notes from the broker.
- Warrants and rights will be excluded when calculating the total portfolio value.
- In the event of an IPO, purchase value of an IPO investment should be limited to 25% of the market value of the existing portfolio.

## DECLARATION

I/We hereby declare that the information furnished above in this application and in the attached annexure/s are true and correct. I/We understand that this application along with the attached annexure/s remain the property of Citizens Development Business Finance PLC. I/We hereby authorize Citizens Development Business Finance PLC to contact my stock broker/s to obtain information as to my share portfolio maintained in the past, and as at present and our account history and I/We hereby also authorize my stockbroker to provide required information to Citizens Development Business Finance PLC. Further I understand that Citizens Development Business Finance PLC who reserves the right to reject this application at its absolute discretion without assigning any reasons thereof.

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Authorized Signature

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Authorized Signature

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Company Rubber Stamp/ Seal

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Date

**FOR OFFICE USE ONLY**

<b>Broker Name:</b>	<b>Margin Account No:</b>
<b>Introduced By:</b>	<b>Facility Granted:</b>
<b>CDB Savings Account No</b>	<b>Interest Rate:</b>
<b>Checked By:</b>	<b>Authorized By:</b>







Authorized by \_\_\_\_\_



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CDB Ref No. ....

The Manager,

Citizens Development Business Finance PLC,

No. 123, Orabipasha Mawatha,

Colombo 10.

(To be filled in block letters)

Dear Sir,

**Consent for a Credit Report (CRIB)**

I, (.....) holder of  
NIC No. .... hereby give my consent to check my past credit  
information through the Credit Information Bureau in regard to my new Credit facility for a sum of  
Rs ...../- which is applied from your company.

Further I have no any objection in retrieving information of my Spouse/Business as per the requirement  
of your company.

**Type of request** (Please tick in the relevant check box)

Existing Customer	
New Applicant	
Co-Applicant	
Guarantor	

Lease	
Hire Purchase	
VL/Mortgage Loan	
Term/Business Loan	
Personal Loan	
Margin Trading	

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Applicant's Signature

**For Office Use Only**

Received Rs. 260/- with thanks from the above applicant as CRIB charges.

Receipt No. ....

I recommend that the above applicants is true & accurate.

.....

Authorized Signature