

Branch
 Client Code

CITIZENS DEVELOPMENT BUSINESS FINANCE PLC
 No. 123, Orabipasha Mawatha, Colombo 10
 Tel : 011 2429800 Fax : 011 2429888 E mail : margin@cdb.lk
 Co. Reg. No. PB 232 PQ



Margin Trading Application Form (Individual)

APPLICANT DETAILS

Title

Last name with Initials

Names Denoted By Initials

Address

Nic / Passport Date of Birth

Telephone Fax

Mobile

Email

Profession

Name & Address Of Employer (If Any)

Telephone - Office Fax

CDS ACCOUNT DETAILS

Credit Limit Required

| CDS Account No | Name Of Broker | Market Value |
|----------------|----------------|--------------|
| | | |
| | | |
| | | |

BANK ACCOUNT DETAILS

| Bank | Branch | Type Of Account | Account No. |
|------|--------|-----------------|-------------|
| | | | |
| | | | |
| | | | |

PARTICULARS OF OTHER MARGIN TRADING FACILITIES

| Name Of Margin Provider | Outstanding Liability | Market Value Of Security |
|-------------------------|-----------------------|--------------------------|
| | | |
| | | |
| | | |

PARTICULARS OF OTHER LIABILITIES/FACILITIES

| Name Of Bank/Financier | Original Amount | Amount Outstanding | Balance Period | Market Value Of Security |
|------------------------|-----------------|--------------------|----------------|--------------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |

DOCUMENTS TO BE ATTACHED

| Name of Document | Attached |
|-------------------------------------|----------|
| Copy of NIC | |
| Portfolio Valuation from the Broker | |
| Billing Proof (e.g.: utility bills) | |
| Last 6 months Bank statements | |
| Individual KYC Form | |
| Crib Consent letter | |

Special Conditions

- The funds should be utilized solely for the purpose of purchasing shares of the listed companies in the Colombo Stock Exchange (CSE).
- Funds will not be granted for purchases above 50% of the portfolio value.
- If the outstanding amount exceeds the maintenance margin limit which is stipulated by the company, the company retains the right to dispose the shares and maintain the stipulated maintenance margin limit.
- Shares of a single company should not be purchased more than 25% of the total value of the portfolio.
- Borrower can reduce the outstanding balance by paying back cash or lodging additional shares listed in CSE which are acceptable to the company within 3 working days of the shortfall being informed.
- The shares below mentioned shall not be considered for funding by CDB used to purchase the below mentioned shares
 - Shares of CDB PLC
 - Shares of companies which have a substantial interest in CDB PLC
 - The list of shares published by CDB from time to time as un-allowed shares
- Payments to the broker for purchase of shares will be made by the bank on the settlement date directly by debiting the borrower's margin account, as per the relevant contract notes from the broker.
- Warrants and rights will be excluded when calculating the total portfolio value.
- In the event of an IPO, purchase value of an IPO investment should be limited to 25% of the market value of the existing portfolio.

DECLARATION

I hereby declare that the information furnished above in this application and in the attached annexure/s are true and correct. I understand that this application along with the attached annexure/s remain the property of Citizens Development Business Finance PLC. I hereby authorize Citizens Development Business Finance PLC to contact my stock broker/s to obtain information as to my share portfolio maintained in the past, and as at present and our account history and I hereby also authorize my stockbroker to provide required information to Citizens Development Business Finance PLC. Further I understand that Citizens Development Business Finance PLC who reserves the right to reject this application at its absolute discretion without assigning any reasons thereof.

.....

Signature of the Applicant

.....

Date

FOR OFFICE USE ONLY

Broker Name:

Margin Account No:

Introduced By:

Facility Granted:

CDB Savings Account No:

Interest Rate:

Checked By:

Authorized By:

Individual

Co-Operate

Gender: M

F

DD / MM / YY

Branch Code

The Manager,
Citizens Development Business Finance PLC,
No.123,
Orabipasha Mawatha,
Colombo 10.

(To be filled in block letters)

Dear Sir,

Requesting for a Credit Report (CRIB)

I/We

holding NIC/BR No expect to apply for a credit facility through your company & this is to bring your notice that I have no objection on checking my past credit information through Credit Information Bureau of Sri Lanka.

Further, I have no objection in retrieving information of my Spouse/Business as per the requirement of your company.

Facility Amount Rs.

Reason

Partner/Proprietor
Guarantor

Borrower
Existing Borrower

Credit Facility Type

Leasing
Over Draft
Loan
Factoring
Margin Trading

Bill Discounting
Consumer Durables
Hire Purchase
Co-operate Credit Card
Bank Guarantee

.....
Applicant's signature

